



422 Rec'd PCT/PTO 0 2 AUG 2000 PCT #5

P/2292-29

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Patent Application of

Seok-Keun KOH et al.

Date: July 31, 2000

Serial No.: 09/509,725

Group Art Unit: 1714

Filed: March 29, 2000

For: PLASMA POLYMERIZATION ON SURFACE OF MATERIAL

Hon. Commissioner of Patents  
and Trademarks  
Washington, D.C. 20231

REQUEST FOR A CORRECTED FILING RECEIPT

Sir:

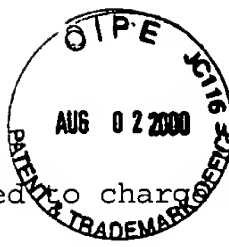
Please issue a corrected filing receipt for the above application. The original filing receipt includes the following error:

The small entity status is not listed. Please identify this case having a small entity status. Enclosed is a copy of the Verified Statement Claiming Small Entity Status previously filed.

It is requested that the U.S. Patent and Trademark Office issue a corrected filing receipt at the earliest possible date.

A corrected filing receipt is required as a result of an error made by the PTO.

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Respectfully submitted,

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Enclosures

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Serial or Patent No.: \_\_\_\_\_ OFGS File No. P/2292-29  
Filing or Issue Date: \_\_\_\_\_  
Applicant or Patentee: Seok-Keun KOH et al  
For: PLASMA POLYMERIZATION ON SURFACE OF MATERIAL

AUG 02 2000

VERIFIED STATEMENT (DECLARATION) CLAIMING SMALL ENTITY STATUS  
37 CFR 1.9(f) and 1.27(d) - NONPROFIT ORGANIZATION

I hereby declare that I am an official empowered to act on behalf of the nonprofit organization identified below:

NAME OF CONCERN: Korea Institute of Science and Technology  
ADDRESS OF CONCERN: 39-1, Hawolgok-Dong, Sungbook-Ku, Seoul 136-791, Republic of Korea

TYPE OF ORGANIZATION:

☒ UNIVERSITY OR OTHER INSTITUTION OF HIGHER EDUCATION  
☐ TAX EXEMPT UNDER INTERNAL REVENUE SERVICE CODE 26 USC §501(a) and §501(c)(3)  
☐ NONPROFIT SCIENTIFIC OR EDUCATIONAL UNDER STATUTE OF STATE OF THE USA  
NAME OF STATE: \_\_\_\_\_ CITATION OF STATUTE: \_\_\_\_\_  
☐ WOULD QUALIFY AS TAX EXEMPT UNDER 26 USC §501(a) and §501(c)(3) IF LOCATED IN THE USA  
☐ WOULD QUALIFY AS NONPROFIT SCIENTIFIC OR EDUCATIONAL UNDER STATUTE OF STATE OF USA IF LOCATED IN THE USA  
NAME OF STATE: \_\_\_\_\_ CITATION OF STATUTE: \_\_\_\_\_

I hereby declare that the nonprofit organization identified above qualifies as a nonprofit organization as defined in 13 CFR 1.19(e) for purposes of paying reduced fees under 35 USC §41(a) and (b) with regard to the invention entitled PLASMA POLYMERIZATION ON SURFACE OF MATERIAL by inventors Seok-Keun KOH, Hyung Jin JUNG, Won Kook CHOI, Ki Hwan KIM, Sam Chul HA, Cheol Hwan KIM and Sung-Chang CHOI described in

☒ U.S. Patent Application filed herewith  
☐ U.S. Patent Application Serial No. \_\_\_\_\_ filed \_\_\_\_\_  
☐ U.S. Patent No. \_\_\_\_\_ issued \_\_\_\_\_

I hereby declare that rights under contract or law have been conveyed to and remain with the nonprofit organization with regard to the above identified invention.

If the rights held by the nonprofit organization are not exclusive, each individual, concern or organization having the rights to the invention is listed below\* and no rights to the invention are held by any person, other than the inventor, who could not qualify as a small business concern under 37 CFR 1.9(d) or by any concern which would not qualify as a small business concern under 37 CFR 1.9(d) or a non-profit organization under 37 CFR 1.9(e). \*NOTE: Separate verified statements are required from each named person, concern or organization having rights to the invention averring to their status as small entities. 37 CFR 1.27.

NAME: \_\_\_\_\_  
ADDRESS: \_\_\_\_\_  
☐ INDIVIDUAL ☐ SMALL BUSINESS CONCERN ☐ NONPROFIT ORGANIZATION

NAME: \_\_\_\_\_  
ADDRESS: \_\_\_\_\_  
☐ INDIVIDUAL ☐ SMALL BUSINESS CONCERN ☐ NONPROFIT ORGANIZATION

I acknowledge the duty to file in this patent application or patent, notification of any change of status resulting in loss of entitlement to small entity status prior to paying, or at the time of paying, the earliest of the issue fee or any maintenance fee due after the date on which status as a small entity is no longer appropriate. 37 CFR 1.29(b).

I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under 18 USC §1001, and that such willful false statements may jeopardize the validity of the patent application, any patent issuing thereon, or any patent to which this verified statement is directed.

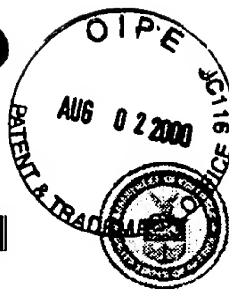
NAME OF PERSON SIGNING: Kyeong Nam MIN

ADDRESS OF PERSON SIGNING: 39-1, Hawolgok-Dong, Sungbook-Ku, Seoul, Korea

SIGNATURE: Min, Kyeong Nam DATE: Nov. 10, 1999

**FILING RECEIPT**

\*OC00000005128410\*

**UNITED STATES DEPARTMENT OF COMMERCE  
Patent and Trademark Office**Address: ASSISTANT SECRETARY AND  
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| APPLICATION NUMBER | FILING DATE | GRP ART UNIT | FIL FEE REC'D | ATTY. DOCKET NO | DRAWINGS | TOT CLAIMS | IND CLAIMS |
|--------------------|-------------|--------------|---------------|-----------------|----------|------------|------------|
| 09/509,725         | 03/29/2000  | 1714         | 632           | P/2292-29       | 31       | 32         | 5          |

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OSTROLENK FABER GERB & SOFFEN  
1180 AVENUE OF THE AMERICAS  
NEW YORK, NY 100368403

Date Mailed: 05/19/2000

Receipt is acknowledged of this nonprovisional Patent Application. It will be considered in its order and you will be notified as to the results of the examination. Be sure to provide the U.S. APPLICATION NUMBER, FILING DATE, NAME OF APPLICANT, and TITLE OF INVENTION when inquiring about this application. Fees transmitted by check or draft are subject to collection. Please verify the accuracy of the data presented on this receipt. If an error is noted on this Filing Receipt, please write to the Office of Initial Patent Examination's Customer Service Center. Please provide a copy of this Filing Receipt with the changes noted thereon. If you received a "Notice to File Missing Parts" for this application, please submit any corrections to this Filing Receipt with your reply to the Notice. When the PTO processes the reply to the Notice, the PTO will generate another Filing Receipt incorporating the requested corrections (if appropriate).

**Applicant(s)**

SEOK-KEUN KOH, SEOUL, KOREA, REPUBLIC OF;  
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**Continuing Data as Claimed by Applicant**

THIS APPLICATION IS A 371 OF PCT/KR98/00372 11/21/1998

**Foreign Applications**

REPUBLIC OF KOREA 1997/61767 11/21/1997

If Required, Foreign Filing License Granted 05/19/2000

**Title**

PLASMA POLYMERIZATION ON SURFACE OF MATERIAL

**Preliminary Class**

525

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Data entry by : BARRETO, NGA

Date: 05/19/2000



**LICENSE FOR FOREIGN FILING UNDER**  
**Title 35, United States Code, Section 184**  
**Title 37, Code of Federal Regulations, 5.11 & 5.15**

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- The words "new," "improved," "improvements in" or "relating to" are not included as first words in the title of an application because a patent application, by nature, is a new idea or improvement.
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